

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986 及 40276)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	
6 京東集團股份有限公司	9618	
7 網易公司	9999	

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解 1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2021年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解 2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2020年7月22日	2021年7月31日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。
 注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。
 注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programm
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme

25	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
26	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
27	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
28	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
29	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
31	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
32	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
33	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
34	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
35	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
36	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
37	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
38	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
39	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
40	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
41	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
42	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
43	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
44	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
45	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
46	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
47	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
48	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
49	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
50	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
51	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
52	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
53	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
54	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
55	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
56	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
57	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
58	HLP Finance Limited	US\$4 billion Medium Term Note Programme
59	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
60	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
61	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
62	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
63	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
64	Huarong Finance II Co., Ltd	US\$1,000,000,000 Medium Term Note Programme
65	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
66	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
67	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
68	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
69	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
70	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
71	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
72	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
73	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
74	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
75	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
76	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
77	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
78	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
79	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
80	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
81	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
82	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
83	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
84	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
85	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
86	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
87	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
88	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
89	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
90	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
91	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
92	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
93	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
94	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
95	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
96	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
97	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
98	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
99	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
100	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
101	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme

102	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
103	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
104	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
105	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
106	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
107	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
108	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
109	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
110	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
111	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
112	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
113	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
114	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
115	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
116	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
117	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	ABCL Glory Capital Limited	5677
2	Agricultural Development Bank of China	5180
3	Agricultural Development Bank of China	5455
4	Agricultural Development Bank of China	85737
5	Agricultural Development Bank of China	85901
6	Agricultural Development Bank of China	85909
7	Agricultural Development Bank of China	85910
8	Alibaba Group Holding Limited	5843
9	Alibaba Group Holding Limited	5844
10	Alibaba Group Holding Limited	5845
11	Amber Circle Funding Limited	4586
12	Amber Treasure Ventures Limited	40216
13	Amber Treasure Ventures Limited	40251
14	Anhui Provincial Investment Group Holding Co., Ltd.	5186
15	Anhui Transportation Holding Group (H.K.) Limited	5158
16	Avenue International Holding Limited	40037
17	Avenue International Holding Limited	40160
18	AVIC International Finance & Investment Limited	4497
19	AVIC International Finance & Investment Limited	5966
20	Azure Orbit III International Finance Limited	5620
21	Azure Orbit III International Finance Limited	5621
22	Azure Orbit International Finance Limited	5909
23	Bank of Communications (Hong Kong) Limited	40176
24	Baoji Investment (Group) Co., Ltd.	5492
25	BCEG (HongKong) Company Limited	5145
26	Beijing Enterprises Water Capital Management Limited	4480
27	Beijing Gas Singapore Capital Corporation	5213
28	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
29	Bengbu Gaoxin Investment Group Co., Ltd.	40131
30	Bengbu Gaoxin Investment Group Co., Ltd.	40085
31	Bi Hai Co., Ltd.	5185
32	Blue Bright Limited	40245
33	Blue Skyview Company Limited	5364
34	Bluestar Finance Holdings Limited	4550
35	Bluestar Finance Holdings Limited	4556
36	Bluestar Finance Holdings Limited	5089
37	Bluestar Finance Holdings Limited	5599
38	Bluestar Finance Holdings Limited	40274
39	Bluestar Finance Holdings Limited	4487
40	BNY Trust Company of Australia Limited	5766
41	BNY Trust Company of Australia Limited	40142
42	Boxinyuan International Co., Ltd.	40036
43	BPHL Capital Management Limited	40171
44	Bright Galaxy International Limited	5927
45	Bright Scholar Education Holdings Limited	5615
46	Cable and Wireless International Finance B.V.	1077
47	Cable and Wireless International Finance B.V.	1078
48	Caiyun International Investment Limited	5947
49	CALC Bond 2 Limited	4300
50	CALC Bond 3 Limited	5390
51	CALC Bond 3 Limited	5391
52	CCB Life Insurance Company Limited	5437
53	CCCI Treasure Limited	5893
54	CCCI Treasure Limited	40157
55	CCCI Treasure Limited	40158
56	CCTI 2017 Ltd	5258
57	CDBL Funding 1	5850
58	Celestial Dynasty Limited	5594
59	CELESTIAL MILES LIMITED	5706
60	Central Plaza Development Ltd.	5919
61	CGNPC International Limited	5058
62	CGNPC International Limited	5059
63	CGNPC International Limited	5153

64	CGNPC International Limited	5154
65	CGNPC International Limited	5519
66	Chalco Hong Kong Investment Company Limited	5151
67	Chalco Hong Kong Investment Company Limited	5920
68	Chalco Hong Kong Investment Company Limited	5727
69	Chalco Hong Kong Investment Company Limited	5985
70	Chalieco Hong Kong Corporation Limited	5321
71	Chalieco Hong Kong Corporation Limited	5702
72	Chalieco Hong Kong Corporation Limited	40070
73	Chang Development International Limited	5090
74	Chang Development International Limited	5744
75	Changde Economic Construction Investment Group Co., Ltd.	5642
76	Changde Urban Construction and Investment Group Co., Ltd.	5509
77	Changde Urban Construction and Investment Group Co., Ltd.	5959
78	Changsha Pilot Investment Holdings Co., Ltd.	40064
79	Changxing Communications Investment Group Co., Ltd.	40264
80	Charter Style International Limited	85993
81	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
82	Chengdu Communications Investment Group Corporation Limited	5062
83	Chengdu Communications Investment Group Corporation Limited	5493
84	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
85	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
86	Chengdu Hi-tech Investment Group Co., Ltd.	5206
87	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
88	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
89	Chengdu Xingcheng Investment Group Co., Ltd.	5314
90	Chenzhou Industry Investment Group Co., Ltd.	40197
91	Cheung Kong Bond Securities (02) Limited	4559
92	Cheung Kong Bond Securities (03) Limited	4595
93	Cheung Kong Infrastructure Finance (BVI) Limited	5060
94	China Bright (Hong Kong) Limited	5937
95	China Cinda Finance (2014) Limited	5748
96	China CITIC Bank International Limited	5199
97	China CITIC Bank International Limited	5728
98	China CITIC Bank International Limited	5831
99	China CITIC Bank International Limited	5992
100	China CITIC Bank International Limited	4594
101	China Clean Energy Development Limited	5568
102	China Development Bank Corporation	85904
103	China Development Bank Corporation	85905
104	China Development Bank Corporation	85934
105	China Energy Reserve and Chemicals Group Holding Limited	5639
106	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
107	China Great Wall International Holdings IV Limited	5617
108	China Great Wall International Holdings IV Limited	5620
109	China Huadian Overseas Development 2018 Limited	4491
110	China Huadian Overseas Development 2018 Limited	40261
111	China Huadian Overseas Development Management Company Limited	4533
112	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
113	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
114	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
115	China Life Insurance (Overseas) Co., Ltd	5250
116	China Merchants Finance Company Limited	4548
117	China Merchants Finance Company Limited	5554
118	China Merchants Finance Company Limited	5555
119	China Minmetals Corporation	4442
120	China Minmetals Corporation	5009
121	China Overseas Finance (Cayman) II Limited	4503
122	China Overseas Finance (Cayman) III Limited	5988
123	China Overseas Finance (Cayman) III Limited	5989
124	China Overseas Finance (Cayman) V Limited	4579
125	China Overseas Finance (Cayman) V Limited	4580
126	China Overseas Finance (Cayman) VI Limited	5746
127	China Overseas Finance (Cayman) VI Limited	5760
128	China Overseas Finance (Cayman) VII Limited	4475
129	China Overseas Finance (Cayman) VII Limited	4476
130	China Overseas Finance Investment (Cayman) V Limited	5600
131	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
132	China Railway Resources Huitung Limited	5904
133	China Railway XunJie Co., Limited	5244
134	China Railway XunJie Co., Limited	5692
135	China Resources Power East Foundation Co., Ltd.	4516
136	China Shenhua Overseas Capital Company Limited	5863
137	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
138	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
139	China Southern Power Grid International Finance (BVI) Co., Limited	4583
140	China Southern Power Grid International Finance (BVI) Co., Limited	4598
141	China State Construction Finance (Cayman) I Limited	5462
142	China State Construction Finance (Cayman) II Limited	5037
143	China State Construction Finance (Cayman) II Limited	5038
144	China State Construction Finance (Cayman) III Limited	40083
145	China Taiping Capital Limited	4582
146	China Taiping New Horizon Limited	5984
147	China Yangtze Power International (BVI) 1 Limited	5300
148	China Yangtze Power International (BVI) 2 Limited	5301
149	Chinalco Capital Holdings Limited	4301
150	Chinalco Capital Holdings Limited	5438
151	Chinalco Capital Holdings Limited	5745
152	Chiyu Banking Corporation Limited	5034

153	Chongqing Casin Group Co., Ltd	5595
154	Chongqing Energy Investment Group Co., Ltd.	5898
155	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
156	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
157	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
158	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
159	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
160	Chouzhou International Investment Limited	4542
161	Chouzhou International Investment Limited	5056
162	Chouzhou International Investment Limited	40154
163	CITIC Telecom International Finance Limited	5908
164	City Development Company of Lan Zhou	40066
165	CLP Power HK Finance Ltd	40044
166	CLP Power HK Finance Ltd.	5740
167	CLP Power Hong Kong Financing Limited	4306
168	CMHI Finance (BVI) Co., Ltd	5134
169	CMHI Finance (BVI) Co., Ltd	5135
170	CMOC Capital Limited	5722
171	CNI Capital Limited	85908
172	CNOOC Curtis Funding No. 1 Pty Ltd	5972
173	CNOOC Curtis Funding No. 1 Pty Ltd	5973
174	CNOOC Finance (2003) Limited	2568
175	CNOOC Finance (2011) Limited	4506
176	CNOOC Finance (2011) Limited	4507
177	CNOOC Finance (2012) Limited	4546
178	CNOOC Finance (2012) Limited	4547
179	CNOOC Finance (2013) Limited	5941
180	CNOOC Finance (2013) Limited	5942
181	CNOOC Finance (2013) Limited	40016
182	CNOOC Finance (2015) Australia Pty Ltd	5507
183	CNOOC Finance (2015) U.S.A. LLC	4478
184	CNOOC Finance (2015) U.S.A. LLC	4479
185	CNOOC Finance (2015) U.S.A. LLC	5508
186	CNOOC Nexen Finance (2014) ULC	5738
187	CNOOC Nexen Finance (2014) ULC	5739
188	CNPC (HK) Overseas Capital Ltd.	4513
189	CNPC (HK) Overseas Capital Ltd.	4514
190	CNPC General Capital Limited	4544
191	CNPC General Capital Limited	5923
192	COSCO Pacific Finance (2013) Company Limited	5900
193	COSL Finance (BVI) Limited	4563
194	COSL Singapore Capital Ltd.	40277
195	COSL Singapore Capital Ltd.	40278
196	CRCC Chengan Limited	5581
197	CRCC Yupeng Limited	5778
198	CRCC Yuxiang Limited	5945
199	CSCEC Finance (Cayman) I Limited	5574
200	CSCEC Finance (Cayman) II Limited	5232
201	CSCEC Finance (Cayman) II Limited	5233
202	CSCEC Finance (Cayman) II Limited	5669
203	CSCI Finance (2015) Co. Ltd	5564
204	CSSC Capital 2015 Limited	40145
205	CSSC Capital 2015 Limited	40146
206	CSSC Capital One Limited	5181
207	CSSC Capital Two Limited	5182
208	Dah Sing Bank, Limited	5316
209	Dah Sing Bank, Limited	5524
210	Dah Sing Bank, Limited	6021
211	Dalian Deta Holding Co., Ltd.	5608
212	Dangdai International Investments Ltd	5027
213	Dangdai International Investments Ltd	40121
214	Dianjian Haixing Limited	5816
215	Dongxing Voyage Company Limited	5643
216	Ease Trade Global Limited	4458
217	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
218	Elect Global Investments Limited	40173
219	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
220	Excel Capital Global Limited	4496
221	Expand Lead Limited	5165
222	First FZ Bond Limited	5275
223	FPC Capital Limited	5107
224	FPC Treasury Limited	5924
225	Franshion Brilliant Limited	5030
226	Franshion Brilliant Limited	5229
227	Franshion Brilliant Limited	5289
228	Franshion Brilliant Limited	5347
229	Franshion Brilliant Limited	5385
230	Franshion Brilliant Limited	5447
231	Franshion Brilliant Limited	5472
232	Fujian Zhanglong Group Co., Ltd.	5700
233	Full Dragon (Hong Kong) International Development Limited	4428
234	FWD Group Limited	4403
235	FWD Group Limited	5019
236	FWD Group Limited	5221
237	FWD Group Limited	5793
238	FWD Limited	4416
239	FWD Limited	5351
240	FWD Limited	5803

241	Gansu Province Electric Power Investment Group Co., Ltd.	5466
242	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
243	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
244	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
245	Gaoxin International Investment Co., Ltd	40081
246	Gemdale Ever Prosperity Investment Limited	4578
247	GET International Investment Holdings Limited	5381
248	GOHL Capital Limited	5352
249	GRAM International Limited	5587
250	Granda Century Limited	5152
251	Green Fortune Capital Limited	40010
252	Greenland Global Investment Limited	5769
253	Guang Ying Investment Limited	4492
254	Guangsha Holding Group Co., Ltd.	5456
255	Guangxi Communications Investment Group Corporation. Ltd	5880
256	Guangxi Financial Investment Group Co., Ltd.	5098
257	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
258	GUANGZHOU Silk Road Investment Limited	5323
259	Guofa Overseas Investment Company Limited	40098
260	Guohui International (BVI) Co., Ltd.	6002
261	Guotai Junan Holdings Limited	5853
262	Haichuan International Investment Co., Ltd.	5460
263	Haiguo Xintai Capital Limited	40011
264	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
265	Haitian (BVI) International Investment Development Limited	5061
266	Haitong International Finance Holdings 2015 Limited	40186
267	Hangzhou Fuyang Chengtou Group (HK) Limited	5469
268	Hanhui International Limited	5657
269	Hanrui Overseas Investment Co Ltd	5081
270	Harvest International Company	5024
271	HBIS Group Hong Kong Co., Limited	40108
272	Hengjian International Investment Limited	40268
273	HFI International (HK) Limited	40309
274	HK Onlink Technology Co., Limited	40168
275	Hong Kong JY Flower Limited	40163
276	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
277	Hong Kong Sukuk 2017 Limited	4233
278	Hong Seng Limited	40172
279	Honghe Development Group Co. Ltd.	40215
280	Hongkong Baorong Development Limited	5465
281	Hongkong Electric Finance Limited	4505
282	Hongkong Ideal Investment Limited	40019
283	Hongkong International (Qingdao) Company Limited	5023
284	Hongkong International (Qingdao) Company Limited	5048
285	Hongkong International (Qingdao) Company Limited	5875
286	Hongkong International (Qingdao) Company Limited	40017
287	Hongkong International (Qingdao) Company Limited	40053
288	Hongkong International (Qingdao) Company Limited	40206
289	HongKong Xiangyu Investment Co., Limited	4409
290	Huafa 2019 I Company Limited	5976
291	Huafa Group 2018 I Company Limited	5150
292	Huai'an Traffic Holding Co., Ltd.	6006
293	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
294	Huaibei City Construction Investment Holding Group Company Limited	5474
295	Huaibei City Construction Investment Holding Group Company Limited	5946
296	Huaneng Hong Kong Capital Limited	5000
297	Huantaihu International investment Co., Ltd	40194
298	Huasing International Holdings Co., Limited	4448
299	Huasing International Holdings Co., Limited	4449
300	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
301	Huayi Finance I Ltd.	40042
302	HUAYUAN PROPERTY CO., LTD	5922
303	Huayuan Property Co., Ltd.	5239
304	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
305	Hunan Xiangjiang New Area Development Group Co Ltd	5223
306	Huzhou City Investment Development Group Co., Ltd.	5074
307	Huzhou Communications Investment Group Co., Ltd.	85740
308	IFC Development (Corporate Treasury) Limited	6000
309	IFC Development (Corporate Treasury) Limited	40198
310	Industrial and Commercial Bank of China (Asia) Limited	5686
311	Industrial and Commercial Bank of China (Asia) Limited	5976
312	Industrial and Commercial Bank of China (Macau) Limited	5750
313	Industrial and Commercial Bank of China (Macau) Limited	5797
314	Industrial Investment Overseas Limited	40226
315	Jiangsu Yueda Group Co., Ltd.	40243
316	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
317	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
318	Jiangxi Railway Investment Group Corporation	5770
319	JIC Zhixin Limited	5031
320	JIC Zhixin Limited	5032
321	Jinan Hi-tech Holding Group Co., Ltd.	5481
322	Jinan Hi-tech Holding Group Co., Ltd.	40018
323	Jinan West City Investment and Development Group Co., Ltd.	4588
324	Jining High Tech Urban Construction Investment Co., Ltd.	40230
325	Jinke Property Group Co., Ltd.	5292
326	Jinshine International Co., Ltd.	4451
327	Jisco SR Pearl Ltd.	5953
328	Jiu An Finance Co., Ltd	40313
329	Joy Treasure Assets Holdings Inc.	5901
330	Joy Treasure Assets Holdings Inc.	5903

331	Joy Treasure Assets Holdings Inc.	6027
332	Joy Treasure Assets Holdings Inc.	40000
333	King Power Capital Ltd.	5823
334	King Talent Management Limited	5026
335	Korean Reinsurance Company	5815
336	Kunming Dianchi Investment Co. Ltd.	5569
337	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
338	Kunming Rail Transit Group Co., Ltd.	40100
339	Kunming Rail Transit Group Co., Ltd.	40101
340	Kunzhi Limited	4464
341	Kunzhi Limited	5100
342	Lai Fung Bonds (2018) Limited	5087
343	Legend Fortune Limited	5128
344	Liang Shan International Development Limited	5585
345	Lingang Wings Inc	5149
346	LinJiang Investment and Development (BVI) Co., Ltd	40087
347	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
348	LS Finance (2017) Limited	4471
349	LS Finance (2022) Limited	4571
350	LS Finance (2025) Limited	5535
351	LSD Bonds (2017) Limited	5270
352	Luso International Banking Limited	5078
353	Malaysia Sovereign Sukuk Berhad	5894
354	Malaysia Sovereign Sukuk Berhad	5895
355	Malaysia Sukuk Global Berhad	5633
356	Malaysia Sukuk Global Berhad	5634
357	MCC Holding (Hong Kong) Corporation Limited	4483
358	MCC Holding (Hong Kong) Corporation Limited	40188
359	Mega Wisdom Global Limited	40287
360	Mei Nian Investment Limited	5932
361	Meilun (BVI) Limited	5625
362	Mianyang Investment Holding (Group) Co., Ltd.	40039
363	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
364	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
365	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
366	Minmetals Bounteous Finance (BVI) Limited	40175
367	Minmetals Land Capital Limited	5933
368	Mudanjiang City Investment Group Co., Ltd.	5297
369	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
370	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
371	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
372	Nanyang Commercial Bank, Limited	5214
373	Nanyang Commercial Bank, Limited	40069
374	Neijiang Investment Holding Group Co., Ltd.	40293
375	Neijiang Investment Holding Group Co., Ltd.	40297
376	New Lion Bridge Company Limited	5290
377	New Momentum International Limited	5997
378	Nimble City Limited	5144
379	Nuoxi Capital Limited	4401
380	Nuoxi Capital Limited	4402
381	Nuoxi Capital Limited	5365
382	Nuoxi Capital Limited	5484
383	Nuoxi Capital Limited	5704
384	Nuoxi Capital Limited	5996
385	NWD Finance (BVI) Limited	4561
386	NWD Finance (BVI) Limited	5856
387	NWD Finance (BVI) Limited	40262
388	Oceanwide Holdings International 2015 Co., Limited	5557
389	Oceanwide Holdings International Development III Co., Ltd.	4532
390	Oceanwide Holdings International Development III Co., Ltd.	5196
391	Orient Huizhi Limited	5041
392	Oriental Capital Company Limited	40025
393	OVPH Limited	5614
394	PB Issuer (No.4) Limited	5525
395	PB Issuer (No.5) Limited	40097
396	PCGI Intermediate Holdings (II) Limited	40074
397	PCGI Intermediate Limited	40040
398	PCGI Intermediate Limited	40040
399	Perfect Point Ventures Limited	4566
400	Petron Corporation	5905
401	PHBS Limited	4325
402	Phoenix Charm International Investment Limited	40058
403	Phoenix Charm International Investment Limited	40071
404	Phoenix Lead Limited	5262
405	Pingdu State-owned Assets Management Co., Ltd.	40207
406	Pingxiang Urban Construction Investment Developing Company	4535
407	Pioneer Reward Limited	4530
408	Pioneer Reward Limited	40143
409	Poly Real Estate Finance Ltd	4417
410	Poly Real Estate Finance Ltd	5164
411	Poly Real Estate Finance Ltd	5916
412	Power Best Global Investments Limited	5940
413	Powerchina Real Estate Group Ltd.	5471
414	Press Metal (Labuan) Ltd.	5299
415	Prosperous Ray Limited	5994
416	Proven Honour Capital Limited	5516
417	Proven Honour Capital Limited	5641
418	Pterosaur Capital Co., Limited	6021
419	PTT Public Company Limited	2527

420	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
421	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
422	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
423	Qinghai Provincial Investment Group Co., Ltd.	4450
424	Radiant Access Limited	5205
425	Rail Transit International Investment Company Limited	5644
426	RKI Overseas Finance 2016 (B) Limited	4309
427	Rongshi International Finance Limited	5448
428	Rongshi International Finance Limited	5449
429	Rosy Delta Investments Limited	5097
430	Rugao Economic and Trade Development Company	40041
431	Rugao Economic and Trade Development Company	40133
432	Rugao Economic and Trade Development Company	40291
433	SCIG International Financial Limited	5174
434	SDG FINANCE I LIMITED	4539
435	SDG FINANCE I LIMITED	5192
436	SDSC Global Finance Limited	5303
437	SDSC International Development Limited	40022
438	SF Holding Investment Limited	5130
439	SF Holding Investment Limited	40152
440	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
441	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
442	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
443	Shandong Commercial Group Co., Ltd.	5512
444	Shandong Iron and Steel Xinheng International Company Limited	5063
445	Shandong Iron and Steel Xinheng International Company Limited	5470
446	Shandong Iron and Steel Xinheng International Company Limited	5601
447	Shandong Iron and Steel Xinheng International Company Limited	40003
448	Shanghai Commercial Bank Limited	5043
449	Shanghai Commercial Bank Limited	5530
450	Shanghai Electric Power Finance Limited	5558
451	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
452	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
453	Shanghai Port Group (BVI) Development Co., Limited	4587
454	Shanghai Port Group (BVI) Development Co., Limited	5734
455	Shanghai Port Group (BVI) Development Co., Limited	5741
456	Shanghai Port Group (BVI) Holding Co., Limited	5255
457	Shanghai Port Group (BVI) Holding Co., Limited	5256
458	Shangrao Investment Holdings International Company Limited	4429
459	Shangrao Investment Holdings International Company Limited	40122
460	Shanghai (Hong Kong) International Investments Limited	40009
461	Shaoxing City Investment Group Limited	5489
462	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
463	Shengzhou Investment Holdings Co., Ltd.	40119
464	Shengzhou Investment Holdings Co., Ltd.	40267
465	Shouguang City Construction Investment Development Co., Ltd.	40233
466	Shuifa International Holdings (BVI) Co., Ltd.	5992
467	Shuifa International Holdings (BVI) Co., Ltd.	40214
468	Si Fa Investment Limited	5490
469	Sichuan Communications Overseas Development Co., Ltd.	5399
470	Sichuan Gloport Investment Development Group Co., Ltd	40196
471	Sichuan Railway Investment Group Co., Ltd.	5575
472	SIHC International Capital Ltd	5176
473	SIHC International Capital Ltd	5177
474	Sino Trendy Investment Limited	4407
475	Sinochem Global Capital Co., Ltd.	5935
476	Sinochem Overseas Capital Company Limited	4501
477	Sinochem Overseas Capital Company Limited	4502
478	Sino-Ocean Land Treasure Finance I Limited	5782
479	Sino-Ocean Land Treasure Finance II Limited	5869
480	Sino-Ocean Land Treasure III Limited	5276
481	Sino-Ocean Land Treasure IV Limited	5131
482	Sino-Ocean Land Treasure IV Limited	5623
483	Sino-Ocean Land Treasure IV Limited	5712
484	Sino-Ocean Land Treasure IV Limited	40115
485	Sinosing Services Pte. Ltd.	40155
486	Sinosing Services Pte. Ltd.	40156
487	Skyland Mining (BVI) Limited	40266
488	Skysea International Capital Management Limited	4529
489	SmarTone Finance Limited	5917
490	Societe Generale	5947
491	Societe Generale	5959
492	Societe Generale	5960
493	Societe Generale	5961
494	Societe Generale	5962
495	SPIC 2016 US dollar Bond Company Limited	5324
496	SPIC 2016 US dollar Bond Company Limited	5325
497	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
498	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
499	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
500	State Grid Overseas Investment (2013) Limited	5954
501	State Grid Overseas Investment (2013) Limited	5955
502	State Grid Overseas Investment (2014) Limited	5742
503	State Grid Overseas Investment (2014) Limited	5743
504	State Grid Overseas Investment (2016) Limited	5649
505	State Grid Overseas Investment (2016) Limited	5650
506	State Grid Overseas Investment (2016) Limited	5651
507	State Grid Overseas Investment (2016) Limited	5652
508	Strategic International Group Limited	5241

509	Strategic International Group Limited	40285
510	Sunny Express Enterprises Corp.	5906
511	Sunny Express Enterprises Corp.	40030
512	Sunny Express Enterprises Corp.	40031
513	Sunny Express Enterprises Corp.	40203
514	Sunny Express Enterprises Corp.	40204
515	Sunshine Life Insurance Corporation Limited	5628
516	Sunshine Life Insurance Corporation Limited	5629
517	Suqian Economic Development (BVI) Co., Limited	40034
518	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
519	Taihu Pearl Oriental Company Limited	40326
520	Taikang Insurance Group Inc.	5349
521	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
522	Taiyuan State-owned Investment Group Company Limited	6005
523	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
524	The Hongkong and Shanghai Banking Corporation Limited	4323
525	Tianfeng Securities Co., Ltd.	40090
526	Tianjin Lingang Investment Holding Co., Ltd.	5716
527	Tianjin Lingang Investment Holding Co., Ltd.	40234
528	Tianjin Real Estate Group Co., Ltd	5286
529	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
530	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
531	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
532	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
533	Tianqi Finco Co., Ltd	5036
534	Tibet Financial Leasing Co., Ltd.	5707
535	Tongfang Aqua 2017 Limited	4454
536	Tongfang Aqua Limited	6001
537	Tongling Development Investment Group Company Limited	40153
538	Top Luxury Investment Limited	5598
539	Towngas (Finance) Limited	5749
540	Towngas (Finance) Limited	6018
541	Trade Horizon Global Limited	5133
542	Treasure Generator Limited	5709
543	Triceratops Capital Co., Limited	4408
544	Tsinghua Unic Limited	4413
545	Tsinghua Unic Limited	4414
546	Tsinghua Unic Limited	4415
547	Tuspark Forward Ltd.	4599
548	Tuspark Forward Ltd.	5143
549	Tuspark Forward Ltd.	5857
550	TVB Finance Limited	4577
551	UHI Capital Ltd.	4572
552	Unigroup International Holdings Ltd.	5597
553	Union Life Insurance Co., Ltd.	4322
554	Universe Trek Limited	40295
555	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
556	Vertex Capital Investment Limited	5931
557	Viva Incubator Investment Management Limited	40144
558	Wakala Global Sukuk Berhad	4519
559	Wanda Group Overseas Limited	5599
560	Wanda Properties International Co. Limited	6023
561	Wanda Properties Overseas Limited	40088
562	Wanda Properties Overseas Limited	40139
563	Want Want China Finance Limited	5446
564	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
565	Weimob Investment Limited	40218
566	Wuhan Financial Holdings (Group) Co., Ltd.	5498
567	Wuhan Metro Group Co., Ltd.	5467
568	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
569	Wuhan State-owned Assets Management Limited Company	5070
570	Xi Hai An 2019 Limited	40067
571	Xi Yang Overseas Limited	4565
572	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
573	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
574	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
575	Xi'an Qujiang Culture Holdings Co., Ltd.	40183
576	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
577	Xiangyu Investment (BVI) Co., Ltd.	5572
578	Xianyang Financial Holding Group Co., Ltd.	40263
579	Xihui Haiwai I Investment Holdings Co., Limited	5990
580	Xin Fu (BVI) Co., Limited	5985
581	Xingang International Holding Limited	40099
582	Xingchang International Company Limited	40294
583	Xingsheng (BVI) Company Limited	5172
584	Xingsheng (BVI) Company Limited	5606
585	Xinhu (BVI) 2018 Holding Company Limited	4569
586	Xinhu (BVI) 2018 Holding Company Limited	5497
587	Xinhu (BVI) 2018 Holding Company Limited	5889
588	Xinjiang Financial Investment Co., Ltd.	5907
589	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
590	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
591	Xinjiang Zhongtai (Group) Co., Ltd.	4567
592	Xinxing Industry Investment Company Limited	5065
593	Xinzhan Limited	40327
594	Yancoal International Resources Development Co., Limited	4552
595	Yancoal International Resources Development Co., Limited	5424
596	Yancoal International Resources Development Co., Ltd	5461
597	Yancoal International Trading Co., Limited	5753

598	Yango (Cayman) Investment Limited	4470
599	Yangzhou Economic and Technological Development Zone Development Corporation	5513
600	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
601	Yankuang Group (Cayman) Limited	5044
602	Yankuang Group (Cayman) Limited	5705
603	Yankuang Group (Cayman) Limited	40312
604	Yichang High-Tech Investment Development Co., Ltd.	5495
605	Yichun Development Investment HaiTong Co., Limited	40084
606	Yihua Overseas Investment Ltd	5293
607	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
608	YONGDA Investment Limited	40252
609	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
610	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
611	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
612	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
613	Yun-Top International Investment Company (BVI) Limited	40318
614	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
615	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
616	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
617	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
618	Zhangzhou Transportation Development Group Co., Ltd.	5584
619	Zhaobing Investment (BVI) Limited	6018
620	Zhaojin Mining International Finance Limited	5851
621	Zhejiang Baron (BVI) Company Limited	5146
622	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	40255
623	Zhengzhou Metro Group Co., Ltd.	5496
624	Zhengzhou Real Estate Group Co., Ltd.	40014
625	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
626	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
627	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
628	Zhongtai International Bond 2017 (BVI) Company Limited	5263
629	Zhongyuan Asset Management Co., Ltd	40078
630	Zhongyuan Sincere Investment Co. Ltd.	5095
631	Zhongyuan Sincere Investment Co. Ltd.	5596
632	Zhoushan City Investment Group Corporation Limited	5487
633	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
634	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
635	Zhujia Development Limited	5480
636	Zhuzhou City Construction Development Group Co., Ltd.	4531
637	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱	
1	中國創盈市場服務有限公司
2	中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱	
1	中國證券登記結算有限責任公司
2	中國證券登記結算(香港)有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱	
1	Budweiser Brewing Company APAC Limited